Uncertainties in model-independent extractions of amplitudes from complete experiments

Sam Hoblit

Brookhaven National Lab

Extraction of Amplitudes

- Channels in the resonance region with possibility for complete experiments: πN , $K\Lambda$
- Avoiding ambiguities will require asymmetries involving recoil polarization.

 $γp → K^+\Lambda$, $γn → K^0\Lambda$: use self-analyzing weak decays

- Collect data on all possible observables in ${\sim}4\pi$ detectors.
- Express observables in terms of amplitudes
- Fit amplitudes to data

Polarization observables for $J^{\pi} = 0^{-1}$ meson photo-production

| Photon beam | | | Targe | t | | Recoi | l | | | | Targ | et – R | ecoil | | ~ | |
|---------------------------------------|---|-------|------------|--------------------|------------------------|------------|--------------------------------|--------------------------|------------------------|------------|------------|-------------|-------|------------------------|------------------------|-----------|
| | | | | | <i>x'</i> | y' | <i>z'</i> | <i>x'</i> | <i>x'</i> | <i>x</i> ′ | <i>y</i> ' | <i>y'</i> | у' | <i>z'</i> | <i>z</i> ' | <i>z'</i> |
| | | x | y | Z | | | | x | У | Z | x | У | Z | x | У | Ζ |
| unpolarized (| σ | ~~~~~ | T | | | P | | $T_{x'}$ | ~~~~ | $L_{x'}$ | | Σ | | <i>T_z</i> , | | $L_{z'}$ |
| $P_L^{\gamma} sin(2\phi_{\gamma})$ | | H | | G | O _{x'} | | O z' | | <i>C</i> _{z'} | | E | | F | | $-C_{x'}$ | |
| $P_L^{\gamma} \cos(2\phi_{\gamma}) =$ | Σ | | - P | | | - T | | - L _{z'} | | $T_{z'}$ | | $-\sigma_0$ | | $L_{x'}$ | | $-T_{x'}$ |
| circular P_c^{γ} | | F | | - <u></u> <i>E</i> | $C_{x'}$ | | <i>C</i> _{<i>z</i>} , | | - O z' | | G | | -H | | 0 _{x'} | |



- 16 different observables, each appearing twice:
- single-pol observables can be measured from double-pol asy
- double-pol observables can be measured from triple-pol asy

Observables from CGLN F_{i}

$$\sigma_{0} = \left\{ |F_{1}|^{2} + |F_{2}|^{2} + \frac{1}{2}\sin^{2}\theta \cdot \left(|F_{3}|^{2} + |F_{4}|^{2} \right) + \Re e \left[\sin^{2}\theta \cdot \left(F_{2}^{*}F_{3} + F_{1}^{*}F_{4} + \cos\theta \cdot F_{3}^{*}F_{4} \right) - 2\cos\theta \cdot F_{1}^{*}F_{2} \right] \right\} \cdot \rho$$

$$\hat{\Sigma} = -\left[\frac{1}{2}\sin^{2}\theta \cdot \left\{ |F_{3}|^{2} + |F_{4}|^{2} \right\} + \sin^{2}\theta \cdot \Re e \left\{ F_{2}^{*}F_{3} + F_{1}^{*}F_{4} + \cos\theta \cdot \left(F_{3}^{*}F_{4} \right) \right\} \right] \cdot \rho$$

$$\hat{T} = \Im m \left\{ \sin\theta \left[F_{1}^{*}F_{3} - F_{2}^{*}F_{4} + \cos\theta \cdot \left(F_{1}^{*}F_{4} - F_{2}^{*}F_{3} \right) - \sin^{2}\theta \cdot F_{3}^{*}F_{4} \right] \right\} \cdot \rho$$

$$\hat{P} = \Im m \left\{ \sin\theta \left[-2F_{1}^{*}F_{2} - F_{1}^{*}F_{3} + F_{2}^{*}F_{4} + \cos\theta \cdot \left(F_{2}^{*}F_{3} - F_{1}^{*}F_{4} \right) + \sin^{2}\theta \cdot F_{3}^{*}F_{4} \right] \right\} \cdot \rho$$

$$\hat{E} = -\left[-|F_1|^2 - |F_2|^2 + \Re e\left\{2\cos\theta \cdot \left(F_1^*F_2\right) - \sin^2\theta \cdot \left(F_2^*F_3 + F_1^*F_4\right)\right\}\right] \cdot \rho$$
$$\hat{G} = +\sin^2\theta \cdot \Im m\left\{F_2^*F_3 + F_1^*F_4\right\} \cdot \rho$$
$$\hat{F} = \sin\theta \cdot \Re e\left[F_1^*F_3 - F_2^*F_4 - \cos\theta \cdot \left(F_2^*F_3 - F_1^*F_4\right)\right] \cdot \rho$$
$$\hat{H} = -\sin\theta \cdot \Im m\left[2F_1^*F_2 + F_1^*F_3 - F_2^*F_4 + \cos\theta \cdot \left(F_1^*F_4 - F_2^*F_3\right)\right] \cdot \rho$$

J. Phys. G: Nucl. Part. Phys. **38** (2011) 053001

$$\begin{aligned} \hat{O}_{x^{*}} &= -\sin\theta \cdot \Im \operatorname{m} \left[F_{2}^{*}F_{3} - F_{1}^{*}F_{4} + \cos\theta \cdot \left(F_{2}^{*}F_{4} - F_{1}^{*}F_{3} \right) \right] \cdot \rho \\ \hat{O}_{z^{*}} &= +\sin^{2}\theta \cdot \Im \operatorname{m} \left[F_{1}^{*}F_{3} + F_{2}^{*}F_{4} \right] \cdot \rho \\ \hat{C}_{x^{*}} &= +\sin\theta \cdot \Re e \left\{ -|F_{1}|^{2} + |F_{2}|^{2} + F_{2}^{*}F_{3} - F_{1}^{*}F_{4} + \cos\theta \cdot \left(F_{2}^{*}F_{4} - F_{1}^{*}F_{3} \right) \right\} \cdot \rho \\ \hat{C}_{z^{*}} &= +\Re e \left\{ -2F_{1}^{*}F_{2} + \cos\theta \left(|F_{1}|^{2} + |F_{2}|^{2} \right) - \sin^{2}\theta \cdot \left(F_{1}^{*}F_{3} + F_{2}^{*}F_{4} \right) \right\} \cdot \rho \end{aligned}$$

$$\begin{split} \hat{L}_{x'} &= +\Re e \left\{ \sin \theta \Big[\left| F_1 \right|^2 - \left| F_2 \right|^2 + \frac{1}{2} \sin^2 \theta \cdot \left(\left| F_4 \right|^2 - \left| F_3 \right|^2 \right) - F_2^* F_3 + F_1^* F_4 + \cos \theta \left(F_1^* F_3 - F_2^* F_4 \right) \Big] \right\} \cdot \rho \\ \hat{T}_{z'} &= \Re e \left\{ \sin \theta \Big[-F_2^* F_3 + F_1^* F_4 + \cos \theta \left(F_1^* F_3 - F_2^* F_4 \right) + \frac{1}{2} \sin^2 \theta \cdot \left(\left| F_4 \right|^2 - \left| F_3 \right|^2 \right) \Big] \right\} \cdot \rho \\ \hat{L}_{z'} &= \Re e \Big\{ 2F_1^* F_2 - \cos \theta \Big(\left| F_1 \right|^2 + \left| F_2 \right|^2 \Big) + \sin^2 \theta \cdot \left(F_1^* F_3 + F_2^* F_4 + F_3^* F_4 \right) + \frac{1}{2} \cos \theta \sin^2 \theta \cdot \left(\left| F_3 \right|^2 + \left| F_4 \right|^2 \right) \Big\} \cdot \rho \\ \hat{T}_{x'} &= \Re e \Big\{ \sin^2 \theta \Big[-F_1^* F_3 - F_2^* F_4 - F_3^* F_4 - \frac{1}{2} \cos \theta \cdot \left(\left| F_3 \right|^2 + \left| F_4 \right|^2 \right) \Big] \Big\} \cdot \rho \end{split}$$

Multipole analysis of $\gamma p \rightarrow K^+ \Lambda$

• published data:

| | Data group | Experiment | Observable | Eγrange / Wrange | ΔEγ/ΔW binning | Systematic Scale error | |
|---|---------------|------------|-----------------------|---------------------|-------------------|---------------------------|---|
| | 1 | CLAS-g11a | $d\sigma$ | 938 - 3814 | | ±8% | |
| | | 0 | | 1625 - 2835 | 10 | $(E\gamma dependent)$ | |
| | 2 | CLAS-g11a | Р | 938 - 3814 | | ±0.05 | |
| | | C | | 1625 - 2835 | 10 | | |
| ⇒ | 3 | CLAS-g1c | $C_{r'}, C_{r'}$ | 1032 - 2741 | 101 | ±0.03 | Ĺ |
| | | _ | | 1679 - 2454 | | | |
| | 4 | CLAS-g1c | $d\sigma$ | 944 - 2950 | 25 | $\pm 8\%$ | |
| | | | | 1628 - 2533 | | $(E\gamma dependent)$ | |
| | 5 | GRAAL | 0,,0, | 980 - 1466 | 50 | ±4% | |
| | | | x = 2 | 1649 - 1906 | | | |
| | 6 | GRAAL | P | 980 - 1466 | 50 | ±3% | |
| | | | | 1649 - 1906 | | | |
| | 7 | GRAAL | Σ | 980 - 1466 | 50 | ±2% | |
| | | | | 1649 - 1906 | | | |
| | 8 | GRAAL | Τ | 980 - 1466 | 50 | ±5% | |
| | | | | 1649 - 1906 | | | |
| | 9 | LEPS | $\boldsymbol{\Sigma}$ | 1550 - 2350 | 100 | ±3% | |
| | | | | 1947 - 2300 | | | |

• single-energy analyses limited by observables with the coarsest granularity

K+L data tbl

Use Fierz identities to impose consistency across data sets

• use Fierz relations to construct expressions with expectation = 0

 $\mathbf{F}_{\text{L.BR}} = \Sigma P - C_{x'}O_{z'} + C_{z'}O_{x'} - T$

$$\mathbf{F}_{\text{S.br}} = \mathbf{O}_{x'}^2 + \mathbf{O}_{z'}^2 + \mathbf{C}_{x'}^2 + \mathbf{C}_{z'}^2 + \mathbf{\Sigma}^2 - T^2 + P^2 - 1$$

combine data sets and minimize:

$$\chi^{2} = \sum_{E_{\gamma}} \sum_{\theta_{K}} \left\{ \left[\left[\frac{F_{L.BR}(f_{i}x_{i\theta}^{exp})}{\delta F_{L.BR}(f_{i}\sigma_{x_{i\theta}})} \right]_{i=2,3,5-8}^{2} + \left[\frac{F_{S.br}(f_{i}x_{i\theta}^{exp})}{\delta F_{S.br}(f_{i}\sigma_{x_{i\theta}})} \right]_{i=2,3,5-8}^{2} \right] + \sum_{i} \left(\frac{f_{i}-1}{\sigma_{f_{i}}} \right)^{2}$$
scale factor for the *i*th data set

of the i^{th} data set

Fitted scales for K⁺Λ asymmetries

| Data group | Experiment | Observable | fitted scale (f _i) | err[f _i] |
|---------------|------------|----------------------------------|--------------------------------|----------------------|
| 2 | CLAS-g11a | Р | 1.000 | 0.049 |
| 3 | CLAS-g1c | $C_{x'}$, $C_{z'}$ | 0.984 | 0.025 |
| 5 | GRAAL | 0 _{x'} ,0 _{z'} | 0.997 | 0.035 |
| 6 | GRAAL | Р | 1.001 | 0.030 |
| 7 | GRAAL | Σ | 1.001 | 0.020 |
| 8 | GRAAL | Τ | 0.992 | 0.040 |

When data from all 16 observables becomes available, the Fierz scaling fits will contain 37 constraints

Multipole fitting procedure

- Fit asymmetry scales using Feirz identities
- Vary multipoles and cross section scales minimizing:

$$\chi^{2} = \sum_{i=1}^{N_{s}} \left\{ \sum_{j=1}^{N_{i}} \left(\frac{f_{i} x_{ij}^{exp} - x_{ij}^{fit}(\vec{\zeta})}{f_{i} \sigma_{x_{ij}}} \right)^{2} \right\} + \sum_{i=1,4} + \left(\frac{f_{i} - 1}{\sigma_{f_{i}}} \right)^{2}$$
scale factor for *i*th data set,
vary for cross sections,
fix from I for asymmetries
systematic error on cross sections
of the *i*th data set

Multipole fitting

- Vary multipoles up to L=3
- Set L=4-8 to (real) Born values
- Monte-Carlo sample real & imaginary part of multipoles using generous sampling window
- Attempt gradient minimization using Minuit whenever random sampled χ^2 within a factor of 10^4 of current best
- Choose a reference point for overall phase, eg. $Im(E_{0+}) = 0$

Results for combined glc, glla, and GRAAL data sets

| E _y / W (MeV) | Best χ^2/pt | Largest χ^2/pt |
|--------------------------|------------------|---------------------|
| 1027 / 1676 | 0.49 | 0.54 |
| 1122 / 1728 | 0.59 | 0.62 |
| 1222 / 1781 | 0.52 | 0.62 |
| 1321 / 1833 | 0.74 | 0.92 |
| 1421 / 1883 | 0.97 | 1.15 |

Best χ^2

Worst χ^2





K⁺Λ Multipoles

Real[AL±]

Imag[AL±]





The χ^2 surface – valley or mine-field ?

• construct a hybrid amplitude from any two solutions; track χ^2 between them.

$$A_h(x) = A_1 \left(1 - \frac{x}{100} \right) + A_2 \left(\frac{x}{100} \right) ,$$

 $x \in \left[0, \ 100\right]$

 there is always a peak between any two solutions !

⇒ the solution bands are clusters of many degenerate local minima



Compare to other PWAs by rotating multipoles \Rightarrow phase of $E_{0+} = 0$



Investigate fitting "complete experiments" with mock data sets

- Generate mock data using BoGa multipoles, at energies and angles of CLAS data sets
- Distribute mock data around multipole prediction with Gaussians with a expected level of uncertainty of CLAS data
- Fit mock data varying multipoles L=0,3.

Sample multipole space followed by gradient minimization using Minuit

• Constrain phase of $E_{0+} = 0$

J. Phys. G: Nucl. Part. Phys. 38 (2011) 053001

Partial mock data set at $E\gamma = 1450 \text{ MeV}$



 $Re[A_{I+}]$



 $Im[A_{I+}]$



Investigate role of random distribution of a individual mock data set on fitting procedure.

Generate various mock data sets and fit. Plot distribution of fitted observables and χ^2 distribution.















Multipoles at 1450 MeV



Statistics from mock fits at 1450 MeV

| | Amp | Ave | Std | Minuit |
|--------|--------|--------|-------|--------|
| Re E0+ | 1.664 | 1.139 | 0.573 | 0.290 |
| Re E1+ | -0.221 | -0.059 | 0.336 | 0.203 |
| Im El+ | -0.794 | -0.253 | 0.458 | 0.202 |
| Re M1+ | -0.144 | -0.115 | 0.379 | 0.224 |
| Im M1+ | -0.421 | 0.253 | 0.405 | 0.205 |
| Re M1- | -1.694 | -0.679 | 1.051 | 0.585 |
| Re M1- | -0.173 | -1.164 | 1.525 | 0.616 |

Full Mock set at E₁=1450 MeV



 $Re[A_{I+}]$



 $Im[A_{I+}]$













 $E_{v} = 1150 \text{ MeV}$

 $E_v = 1550 \text{ MeV}$



Statistics from mock fits at 1550 MeV

| | Amp | Ave | Std | Minuit |
|--------|--------|--------|-------|--------|
| Re E0+ | 1.714 | 1.412 | 0.547 | 0.205 |
| Re E1+ | -0.146 | 0.025 | 0.287 | 0.127 |
| Im E1+ | -0.807 | -0.695 | 0.207 | 0.149 |
| Re M1+ | -0.082 | -0.083 | 0.132 | 0.109 |
| Im M1+ | -0.344 | -0.323 | 0.143 | 0.106 |
| Re M1- | -1.665 | -1.755 | 0.435 | 0.301 |
| Im M1- | 0.018 | -0.136 | 0.638 | 0.475 |

Summary

- With realistic experimental uncertainties as expected from ongoing experiments, fits to multipoles will always have multiple minima that are experimentally indistinguishable.
- The position of these minima, and the values of the assoc. multipoles, depend on the statistical distribution in the data set.
- Errors reported by standard minimization packages such as MINUIT reflect the curvature of the χ^2 space around one local minimum, and thus generally underestimate the true uncertainty in the presence of multiple minima.
- In fitting the data from a complete experiment mock data with the same errors can be used to evaluate the multipole uncertainties associated with the variations in the statistical distributions of data points.
- In fits to a "complete set" of all 16 observables, with the uncertainties expected from ongoing experiments, the minima are fairly well clustered, with the result that an extracted amplitude is expected to be fairly well determined.